



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/778,023	02/05/2001	Stacy S. Cook	

CONFIRMATION NO. 6223

## FORMALITIES LETTER

SIMON, GALASSO &amp; FRANTZ PLC.

P.O. Box 26503

Austin, TX 78755-0503

09/778,023 02/05/2001 00000000 001835

0977602

\*OC000000005857086\*

01 FEB 01	1.00.00	01
02 FEB 01	1.00.00	01
03 FEB 01	1.00.00	01
04 FEB 01	1.00.00	01
05 FEB 01	1.00.00	01

Date Mailed: 03/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1510.
  - \$1350 for 75 total claims over 20.
  - \$160 for 2 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2350.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

The following item(s) appear to have been **omitted** from the application:

- Figure(s) **3B1** described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



section  
PATENT \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:  
Stacy S. Cook et al.

By the Examiner:

Serial No.: 09/778,023

Group Art Unit: 2851

Filed: February 5, 2001

Applied Science Fiction, Inc.

Title: METHOD AND SYSTEM FOR  
SELF-SERVICE FILM PROCESSING

8920 Business Park Drive  
Austin, Texas 78759

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING

Date of Mailing: May 14, 2001

I hereby certify that this correspondence is being deposited with the  
United States Postal Services as first class mail in an envelope  
addressed to:

Box Missing Parts  
Assistant Commissioner for Patents,  
Washington, D.C. 20231

Type or Print Name Martha Rocha

Martha Rocha  
Signature

Dear Sir:

TRANSMITTAL LETTER

Transmitted herewith for filing in the above-identified Nonprovisional application for patent are  
the following documents:

1. Transmittal Letter;
2. Response To Notice To File Missing Parts Of NonProvisional Application;
3. Part 2-Copy of Notice To File Missing Parts Of NonProvisional Application;
4. Originally executed Declaration and Power of Attorney;
5. Form PTO-1595 Recordation Form Cover Sheet;
6. Originally executed Assignment;
7. Seven (7) sheets of formal drawings (Figs. 1-4B-4);
8. Confirmation Postcard.

The Commissioner is hereby authorized to charge the fees associated with this communication  
or credit any overpayment to Simon, Galasso & Frantz PLC Deposit Account No. 50-1259.

RESPECTFULLY SUBMITTED,  
Stacy S. Cook et al.

By: Raymond M. Galasso  
Raymond M. Galasso  
Reg. No. 37,832

Simon, Galasso & Frantz PLC  
P.O. Box 26503  
Austin, Texas 78755-0503  
Telephone: (512) 372-8240  
Facsimile: (512) 372-8247



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Stacy S. Cook et al.

Serial No.: 09/778,023

Filed: February 5, 2001

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Box Missing Parts  
Assistant Commissioner for Patents,  
Washington, D.C. 20231

Type or Print Name Martha Rocha

Martha Rocha  
Signature

Dear Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION**

This letter is submitted in response to the Notice To File Missing Parts of Nonprovisional Application dated March 13, 2001. Applicant responds by filing an originally executed Declaration and Power of Attorney. Applicant responds by paying the required fees. The Commissioner is hereby authorized to charge payment of \$2390.00 to Simon, Galasso & Frantz Deposit Account No. 50-1259. This amount includes the filing fee (\$710), claim fee (\$1350), independent claim fee (\$160), surcharge (\$130) identified in the Notice To File Missing Parts of Provisional Application. **We also include in this amount the Assignment recording fee (\$40).** An originally executed Assignment is enclosed for recording purposes.

RESPECTFULLY SUBMITTED,  
Stacy S. Cook et al.

By:

Raymond M. Galasso  
Raymond M. Galasso  
Reg. No. 37,832

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Austin, Texas 78755-0503  
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